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Karen Cinq-Mars 9/8/03  
(Signature & date)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of \_\_\_\_\_: September 08, 2003  
Khater et al. \_\_\_\_\_: Group Art Unit:  
Serial No. 10/604,988 \_\_\_\_\_: Examiner: Steven Post  
Filed: August 29, 2003 \_\_\_\_\_: International Business Machines Corporation  
2070 Route 52  
Hopewell Junction, NY 12533

**TITLE:** BIOPOLAR TRANSISTOR HAVING RAISED EXTRINSIC BASE WITH SELECTABLE  
SELF-ALIGNMENT AND METHODS OF FORMING SAME

**INFORMATION DISCLOSURE STATEMENT**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the non-US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,  
KHATER et al.

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